

18351 U.S. PTO  
06/26/03

Attorney Docket No.: 907A.0112.U1(US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Commissioner For Patents  
Mail Stop: NEW PATENT APPLICATION  
P.O. Box 1450  
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL FORM

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): **Eric K. Hall**  
**Dan M. Griffin**

**Richard B. Ertel**  
**Tom R. Giallorenzi**

For (title): **METHOD AND SYSTEM FOR BASEBAND AMPLITUDE LIMITING.**

Enclosed are the following:

- ☒ Application Cover Page
- ☒ 22 pages of Specification that consists of, 12 pages of Description, 9 pages of Claims and 1 page of Abstract;
- ☒ 3 sheet of drawings;
- ☒ Inventor(s) Declaration;
- ☒ Preliminary Amendment;
- ☐ Priority is hereby claimed from:
  - Patent Application Number filed on ;
  - Patent Application Number filed on ;
  - Patent Application Number filed on ;
- ☐ Certified Copy of priority document(s);
- ☐ English language translation of priority document;
- ☐ Application Data Sheet;
- ☐ Information Disclosure Statement and/or Form PTO 1449 and cited art;
- ☒ Assignment and Recordation Form Cover Sheet;
- ☒ Return Receipt Postcard;
- ☒ Other: **Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)**

Assignment Data

- ☒ An assignment of the invention to **L-3 Communications Corporation**  
☒ is attached.  
☐ will follow.

Small Entity Status

- ☐ Applicant claims small entity status.

Fees

<input type="checkbox"/> Design Patent Application					\$ 330.00
<input checked="" type="checkbox"/> Utility Patent Application					
	Number Filed	Number Extra	Rate		Basic Fee \$ 750.00
[Total Claims from Preliminary Amendment]					
Total Claims	21 - 20 =	1	x	\$ 18.00	\$ 18.00
Independent Claims	5 - 3 =	2	x	\$ 84.00	\$ 168.00
Assignment Recordal		1	x	\$ 40.00	\$ 40.00

TOTAL FEES

**\$ 976.00**

- ☒ A check in the amount of **\$976.00** in payment of the filing fee is enclosed.
- ☐ Charge \$        to Deposit Account No. 50-1924
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required for this communication or during the entire pendency of this patent application, or credit any overpayment, to Deposit Account No. 50-1924.

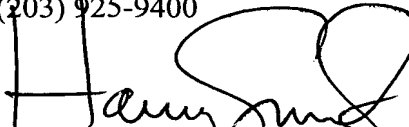
Correspondence

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6/27/2003  
Date

  
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<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Eric K. Hall, et al.
	Title	Method and System for Baseband Amplitude Limiting
	Atty Docket Number	907A.0112.U1(US)

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

6/27/2003  
Date

  
Signature

Harry F. Smith

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.